



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,182	07/11/2001	Albert C. Lardo	56245

Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group of  
Edwards & Angell, LLP  
P.O. Box 9169  
Boston, MA 02209



CONFIRMATION NO. 1162

## FORMALITIES LETTER



\*OC000000006478565\*

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2118.
  - \$648 for 36 total claims over 20.
  - \$1200 for 15 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2958.

10/05/2001 BSAYAS11 00000056 041105 09904182

01 FC:201	370.00 OP
02 FC:202	630.00 OP
03 FC:203	324.00 OP
04 FC:204	140.00 OP
05 FC:205	15.00 OP
50.00 CH	

Copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt #

56245 (71699) #3

Attorney Docket No. ~~49783~~(1999)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: A. Lardo

U.S.S.N.: 09/904,182

ART UNIT: Unknown

FILED: July 11, 2001

EXAMINER: Unknown

FOR: APPLICATION OF PHOTOCHEMOTHERAPY FOR THE TREATMENT  
OF CARDIAC ARRHYTHMIAS

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 1, 2001

Annemarie Serrecchia  
By: Annemarie Serrecchia

\*\*\*\*\*

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE  
GRANTED AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

The following is in response to the Notice to File Missing Parts - Filing Date  
Granted mailed August 27, 2001, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37. CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.

A. Lardo  
U.S.S.N.: 09/904,182  
Page 2

3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.
4. Assignment and Recordation Form Cover Sheet.

Completion of application filing fees as calculated below:

1. Surcharge for late payment of filing fee and/or late filing of original declaration or oath.....	\$ 65.00
2. The statutory basic filing fee.....	\$ 355.00
3. 15 Independent claim over 3 .....	\$ 600.00
4. 36 total claims over 20.....	\$ 324.00
5. Multiple dependent claims.....	<u>\$ 135.00</u>
TOTAL.....	\$ 1479.00

Applicant notes that Assignee, Johns Hopkins University, is a small entity. Namely, Johns Hopkins University is a non-profit organization – A University or Other Institution of Higher Education.

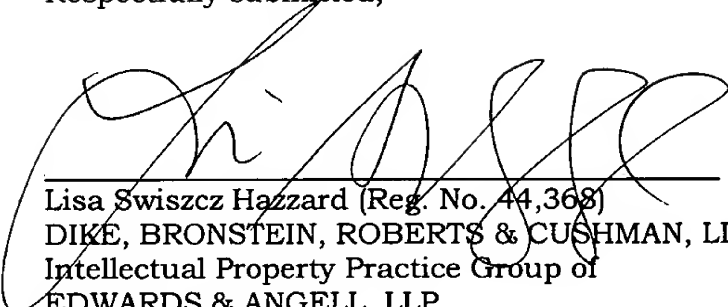
Enclosed herewith is a check for \$ 1479.00 in payment of the total fee due, along with a check in the amount of \$40.00 for recording the enclosed Assignment.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

If for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

A. Lardo  
U.S.S.N.: 09/904,182  
Page 3

Respectfully submitted,



\_\_\_\_\_  
Lisa Swiszczy Hazzard (Reg. No. 44,368)  
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP  
Intellectual Property Practice Group of  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209  
Tel 617-439-4444  
Fax 617-439-4170

178811